

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Docket Number</b> 04-6174		<b>Application Number</b> 10/767,699		
				<b>Applicants</b> Thomas J. DALEY, et al.				
				<b>Filing Date</b> January 29, 2004		<b>Group Art Unit</b> 3691		
<b>U.S. PATENT DOCUMENTS</b>								
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee		Class	Sub-Class	Filing Date
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document		Sub-Class	Translation
<b>NON PATENT LITERATURE DOCUMENTS</b>								
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published						
/BKS/		Daisuke Ochiai, "Electronic Finance and Security Trading, 'London Stock Exchange Transfers to New Trading System'", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.						
/BKS/		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).						
/BKS/		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).						
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).						
Examiner Signature	/Bijendra K. Shrestha/					Date Considered	12/20/2010	